| SEC SECURITIES AND EXCHANGE COMMISSION OF SRI LANKA | <b>Capital Market Education</b><br><b>Securities and Exchange Commission of Sri Lanka</b><br>Level 28-29, East Tower, World Trade Centre, Echelon Square, Colombo 01 |  |  |  |  |  |
|---|--|--|--|--|--|--|
| Certificate in Capital Marke                        | e <b>ts</b><br>Medium: English Sinhala   |  |  |  |  |  |
| CERTIFICATION                                       |  |  |  |  |  |  |
| Certificate in Capital Markets                      | Certificate in Debt Securities   |  |  |  |  |  |
| Certificate in Equity Securities                    | Certificate in Unit Trusts   |  |  |  |  |  |
| Please fill the application form in BLOCK C         | APITALS  |  |  |  |  |  |
| PERSONAL DETAILS                                    |  |  |  |  |  |  |
| Title: Mr. / Mrs. / Ms.                             | Name with Initials:  |  |  |  |  |  |
| Full Name:  |  |  |  |  |  |  |
|   |  |  |  |  |  |  |
| Date of Birth:                                      | Nationality:   |  |  |  |  |  |
| Gender:   | NIC No:  |  |  |  |  |  |
| Permanent Home Address:                             | Corresponding Address:   |  |  |  |  |  |
| Mobile Tel No:                                      |  |  |  |  |  |  |
| Land Tel No :                                       | Tel No:  |  |  |  |  |  |
| Email Address:                                      | Fax No:  |  |  |  |  |  |
| EDUCATIONAL QUALIFICATIONS                          |  |  |  |  |  |  |
| Primary Education : Advanced Level Subject          | Result   |  |  |  |  |  |
|   |  |  |  |  |  |  |
|   |  |  |  |  |  |  |
|   |  |  |  |  |  |  |
|   |  |  |  |  |  |  |
| OTHER ACADEMIC / PROFESSIONAL QUALIFICATIONS        |  |  |  |  |  |  |
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# EMPLOYMENT

If you are presently employed please state employer's name and nature of your work:

(Note: your employer will not be contacted without your permission)

## DECLARATION

I certify that the information given in this application is true, complete and accurate and no information has been omitted. I accept that if I do not fully comply with these requirements Capital Market Education and External Relations Division of the Securities and Exchange Commission of Sri Lanka shall have the right to cancel my application and shall have no claim against them. I understand that this application and all supporting documents become the confidential property of Capital Market Education and Training Division and will not be returned, copied or released.

Signature.....

Date.....

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### DOCUMENTS TO BE FORWARDED IN PDF FORMAT ALONG WITH THE COMPLETED APPLICATION FORM

- 1) Scanned Copy of the National Identity Card or Valid Passport
- 2) Scanned Copy of GCE (A/L) and (O/L) Certificates
- 3) Original Payment Slip (Green) or Bank Confirmation Email

Copies of all original Certificates and copy of NIC/Passport should be certified by either a "Justice of Peace", "Commissioner of Oaths" or "Notary Public".

• If the candidate has not fulfilled the entry qualification, send us the documents prior to making the payment to check your eligibility.

## **PAYMENT DETAILS**

| Certification                    | Fee Structure   |
|----------------------------------|-----------------|
| Certificate in Capital Markets   | Rs. 95, 000. 00 |
| Certificate in Equity Securities | Rs. 75, 000. 00 |
| Certificate in Debt Securities   | Rs. 70, 000. 00 |
| Certificate in Unit Trusts       | Rs. 70, 000. 00 |

#### **Payment Procedure**

 Cheques or Cash should be deposited to : (All cheques should be drawn in favor of "Securities and Exchange Commission of Sri Lanka" crossed "A/C Payee Only")

| Bank<br>Branch<br>Account Number |      | Bank of Cey<br>Corporate B<br>9919753 |  |
|----------------------------------|------|---------------------------------------|--|
| If payment is done at the ba     | nk ( | counter                               | : Forward us the scanned copy of the Original Payment Slip (Green) |

• If payment is done online (direct transfer) : Forward us the Online payment confirmation

#### For more information

Visit Website: www.sec.gov.lk Tele: 0112143822 or 0112143843 Ext :152 Level 29, East Tower, World Trade Centre, Colombo 1