

## ACADEMIC LEVEL ENTRY QUALIFICATION CATEGORY

Possessing a Bachelor's Degree in either Finance, Banking, Business Administration, Accounting, Management, Economics, Marketing, MIS/ IT, Engineering, Law, Arts or any other Degree acceptable to the SEC.

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## STUDENT SUPPORT

Lectures are conducted by an expert panel of lecturers drawn from the academia and industry. The students will additionally be supported by review sessions and one-on-one support methods. Attendance at lecturers is not compulsory.

## COURSE MATERIAL

Comprehensive study packs for all series will be provided after registration. Additional study material such as text books, study guides and financial calculator is required to be purchased by the student if required.

## PAYMENT DETAILS

### COURSE FEE

**SEC PAYMENT RS. 40,000/-  
PLUS  
CISI PAYMENT £ 165/-**

### PAYMENT METHOD

- SEC Fee is payable by cheques or cash. Cheques or cash should be deposited to Bank of Ceylon (Corporate Branch) A/C No. 9919753 and forward the original receipt along with the application form (All cheques should be drawn in favor of "Securities and Exchange Commission of Sri Lanka" crossed "A/C Payee Only")
- CISI Fee is payable by a Bank Draft-drawn in favour of "The Chartered Institute for Securities & Investment"

## APPLICATION FORMS ARE AVAILABLE AT THE SEC WEBSITE

### Contact Us

☎ 011 2 143 843 Ext. 151  
011 2143 822

🌐 [www.sec.gov.lk](http://www.sec.gov.lk)

📍 Level 28 & 29, East Tower,  
World Trade Centre,  
Echelon Square,  
Colombo 01.



## DIPLOMA IN CAPITAL MARKETS

*"Strengthening the Capital Market through structured professional education..."*

**An excellent opportunity to earn an International Qualification in Capital Markets**

## CHARTERED INSTITUTE FOR SECURITIES AND INVESTMENT (CISI)

The CISI is the largest and most widely respected professional body for the securities and investment industry in the UK and in a growing number of financial centers globally. CISI promotes the highest standard of professionalism amongst their members and the wider financial services industry and they believe professionalism is a blend of three elements – knowledge, skills and behavior.

CISI's wealth/retail, compliance, risk, operations, capital markets, corporate finance and Islamic finance qualification provide practitioners with practical knowledge which can be immediately transferred to business activities and client-facing advisory functions and can support you to progress in your career.

### COURSE DETAILS

- A new batch will be commenced according to industry requirement.
- Duration is approximately 9 months. Course schedule and other relevant details will be provided after registration.
- Lectures will be held on Saturdays.
- A batch will consist of limited number of students. Applicants will be accommodated on first come first served basis.

### ENTRY QUALIFICATIONS

- Possessing the Certificate in Capital Market (CCM) and/or
- Possessing a Professional level qualification and/or
- Possessing an Academic level qualification

### PROFESSIONAL LEVEL ENTRY QUALIFICATION CATEGORY

Holding a Membership at either Institute of Chartered Accountants of Sri Lanka (ICASL), Chartered Institute of Management Accountants (CIMA), Association of Chartered Certified Accountants (ACCA), Sri Lanka Institute of Marketing (SLIM), The Association of Accounting Technicians of Sri Lanka (AAT) or any other qualification acceptable to the SEC.

### CME

## CAPITAL MARKET EDUCATION

The primary objective of the CME is to provide professional level education for the development of the capital market of Sri Lanka. We practice a multifaceted approach which combines education, research and advisory services.

DCM is the third stage of the qualification framework developed for financial sector professionals providing advanced knowledge on capital market theories and financial products. Obtaining this qualification will pave the way for middle and higher level capital market professionals to enter into the areas of banking, stock brokering, money brokering, fund management, investment banking, corporate financing, venture capital, insurance and finance, accounting, financial consulting etc.